SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Krogulski Kenneth</u>			2. Issuer Name and Ticker or Trading Symbol <u>CUMBERLAND PHARMACEUTICALS</u> <u>INC</u> [CPIX]		tionship of Reporting Pers : all applicable) Director	10% Owner		
(Last) (First) 1600 WEST END AVE SUITE 1		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 10/02/2023		Officer (give title below)	Other (specify below)		
(Street) NASHVILLE	· · · · ·		4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X				
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication			that is intended to		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	10/02/2023		L	v	280(1)	A	\$1.89	219,446	D	
Common Stock	10/03/2023		L	v	280(1)	A	\$1.85	219,726	D	
Common Stock	10/04/2023		L	v	227(1)	A	\$1.79	219,953	D	
Common Stock	10/05/2023		L	v	280(1)	A	\$1.85	220,233	D	
Common Stock	10/06/2023		L	v	280(1)	A	\$1.88	220,513	D	
Common Stock	10/09/2023		L	v	280(1)	A	\$2.06	220,793	D	
Common Stock	10/10/2023		L	v	280(1)	A	\$2.04	221,073	D	
Common Stock	10/11/2023		L	v	280(1)	A	\$2.04	221,353	D	
Common Stock	10/12/2023		L	v	280 ⁽¹⁾	A	\$2.13	221,633	D	
Common Stock	10/13/2023		L	v	280(1)	A	\$2.07	221,913	D	
Common Stock	10/16/2023		L	v	280(1)	A	\$2.03	222,193	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. The reported purchases occurred automatically pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May16, 2023.

Remarks:

Kenneth J. Krogulski by /s/ John Hamm as attorney-in-

<u>fact</u>

10/17/2023

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** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.